Addendum 3 – Implementation of General Assembly Resolution 71/243 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system (QCPR): Monitoring and reporting framework

In orange, indicators that are part of the Funding Compact

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

A. ALIGNING PLANNING OF THE UNDS TO THE 2030 AGENDA

I. UNDS Entities Strategic Planning

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
1					Fraction of UNDS entities which have 'aligned' planning and budgeting cycles to the QCPR timeframe[1] i. Fully aligned ii. Partially aligned iii. Not aligned	HQ survey		14/27 5/27 8/27	13/29 5/29 11/29
2					Fraction of UNDS entities reporting to their governing bodies on implementation of the 2016 QCPR i. Funds and programmes ii. Specialized Agencies iii. Other UNDS entities	HQ survey		6/9 5/8 6/12	6/9 5/9 3/11
3					Fraction of UNDS entities that identify in their strategic plan specific actions on how they plan to engage in coherent and integrated support, as called for in the 2030 Agenda[a]	HQ Survey		26/29	28/29
3	B				Fraction of UNDS entities (as applicable[g]) that outlined in their Strategic Plan how they plan to mainstream the SDGs	HQ Survey			28/29
4					Fraction of Voluntary National Reviews by programme countries that were presented at the HLPF that have benefitted from the support of UNDS entities	PCG Survey[d]		35/40	
5					Fraction of UNCTs[b] that have assisted governments in producing a national SDG report	DCO	31/34 (2015)	40/43	41/46

II.   An integrated response

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
6					An integrated package of support in line with OP61 71/243 has been endorsed [Yes / No – date]	DCO			No[2]
7	A				Fraction of PCGs that 'agree' that the UNDS provides **evidence-based** policy advice tailored to national needs and priorities i. 'Agree' ii. 'Strongly agree'	PCG Survey[d]		68% 25%	

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[1] Excludes the 12 Secretariat departments, including regional commissions, as they have a different planning and budgetary cycle
[2] DCO indicated its interpretation is that this mandate has been superseded by resolution 72/279

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

	B				Fraction of PCGs that 'agree' that the UNDS provides **integrated (where appropriate)** policy advice tailored to national needs and priorities [Target(2021):100%]
					   i. 'Agree'
					   ii. 'Strongly agree'
								62%
								17%	

8					% of RCs stating that the UNCT generally provides policy advice that is developed through a:
					   i. Single-entity process
					   ii. Coordinated process
					   iii. Integrated process
						RC survey		21%
								66%
								13%	

9					Fraction of UNCTs that have supported Governments
					   i. mainstream the SDGs into the national development plans
					   ii. on SDG measurement and reporting
					   iii. with general orientation on SDGs
					   iv. with requests on specific SDGs
					(of those UNCTs that have had support requested from them)
						DCO		99/100
								93/95
								103/104
								84/85
									97/97
									94/97
									92/92
									78/78

10	A				Fraction of UNDS entities (as applicable[g]) that outline in their Strategic Plan how they plan to mainstream poverty eradication	HQ Survey		22/24	20/20
	B				Fraction of UNDS entities (as applicable[g]) that address the goal of poverty eradication in their Strategic Plan			24/25	20/20

	C				Fraction of UNDS entities (as applicable[g]) that outline how they will target the furthest behind first
					   i. In their strategic plan
					   ii. In their annual reporting to their Governing Body
						HQ Survey[3]		23/24
								17/24
									23/27
									22/27

11					% of PCGs indicating that poverty eradication is an area where UNDS contribution has been especially significant over the past two years	PCG Survey[d]		53%	
12					% of UNDAFs that outline how they will target reaching the furthest behind first	RC Survey[b]		86%	

13					% of PCGs that 'agree' that the UN ensures adequate attention and resources are given to the development needs of the poorest and most vulnerable in society
					   i. 'Agree'
					   ii. 'Strongly agree'
						PCG Survey[d]		74%
								17%	

14					Fraction of joint programmes that include addressing inequalities (SDG 10)	DCO	36/365 (2015)	87/384	113/445
15					% of UNDAFs that substantively address the needs of persons with disabilities	RC Survey[b]		65%	

16	A				% of UNCTs that have in the past year:
					   i. completed a human rights analysis
					   ii. developed a strategy to address the issues identified in the human rights analysis and taken subsequent action to address the issues set out in the human rights analysis
						DCO & OHCHR	(2015)
							61/131
							36/61
								60/130
								27/60
									70/130
									38/70

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[3] The denominator for answers to the HQ survey varies as it reflects the relevance of the question to specific entities or because entities chose not to respond to the question

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
	B				% of UNCTs that have in the past year: i. supported the government to develop a report for the UPR ii. facilitated follow-up of the UPR recommendations by the government iii. supported the government to develop a report for the human rights treaty bodies iv. facilitated follow-up of the treaty body recommendations by the government v. supported the government in preparing for the visits of Special Procedures vi. facilitated follow-up of the Special Procedures recommendations by the government		(2015) 63% 92% 62% 74% 45% 44%	62% 51% 69% 68% 52% 42%	64% 52% 75% 77% 48% 43%
	C				% of UNCTs which have drawn on human rights recommendations to inform UN analysis, programming and advocacy strategies, from the following: i. Universal Periodic Review ii. Human rights treaty bodies, or, iii. Special procedures to inform UN analysis programming or advocacy strategies	DCO	(2015) 66% 75% 34%	52% 86% 48%	55% 88% 55%
	D				Strategy developed for enhanced RC/UNCT engagement with human rights mechanisms [Yes/No; Date]	DCO			No
17					System-wide evaluation of the effectiveness, value added and impact of the System-Wide Action Plan on Gender Equality and the Empowerment of Women completed	JIU^c	(2015) Deferred	No	Yes
18	A				Fraction of UN entities that meet or exceed i. All[4] ii. 75% of UN-SWAP minimum standards	UN-Women	(2015) 0/41	1/41	1/41 26/66
	B				Fraction of UN entities that meet or exceed i. Policy Plan ii. Gender responsive Performance Management iii. Strategic Planning iv. Reporting and Data Analysis v. Evaluation vi. Gender Responsive Auditing vii. Programme Review viii. Resource Tracking ix. Resource Allocation x. Gender Architecture and Parity SWAP minimum standards	UN-Women			54/66 56/66 49/65 56/65 34/43 58/63 42/55 28/52 13/54 14/66
19					Fraction of UNCTs that have conducted i. UNCT SWAP Gender Equality Scorecard in the past year ii. a gender scorecard exercise in the past year, and met or exceeded requirements in at least half of the performance indicators iii. a gender scorecard exercise in the four years, and met or exceeded requirements in at least half of the performance indicators [Target(2020 onwards):75%	UN-Women		.. .. 9/35	**24**/131 12/**24**

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[4] Entities reporting 'not applicable' for certain standards are excluded.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/res ponsibility	Baseline (year)	SGR 2018	SGR 2019
20					Fraction of UNDS entities that track and report on allocations and expenditures using gender markers	UN-Women	15/41 (2015)	19/41	28/52
21					UNDAF gender marker methodology piloted through UN-INFO	DCO/ UN-Women[c]	N/A	No	Yes
22					% of UNDAFs that feature gender results at the outcome level	UN-Women	61% (2015)	62%	70%
23					Percentage female staff among	CEB			
					All staff			48.9%	47.8%
					a) **International Professional Staff**			**46.0%**	**46.6%**
					i) P1			65.5%	65.0%
					ii) P2			59.4%	59.6%
					iii) P3			47.6%	48.9%
					iv) P4			44.2%	44.1%
					v) P5			38.1%	38.8%
					b) **National Staff**			**46.7%**	**45.7%**
					i) NO-A			50.3%	47.1%
					ii) NO-B			45.3%	44.9%
					iii) NO-C			46.3%	45.6%
					iv) NO-D			44.7%	46.6%
					v) NO-E			33.3%	50.0%
					c) **High-level Posts**			**34.1%**	**36.1%**
					i) D1			34.7%	36.5%
					ii) D2			33.7%	34.8%
					iii) ASG			29.4%	32.0%
					iv) USG			28.6%	37.8%
					d) **General Service Staff**			**53.2%**	**50.1%**
					i) G2			3.7%	3.5%
					ii) G3			22.9%	21.2%
					iii) G4			58.2%	55.4%
					iv) G5			68.2%	65.0%
					v) G6			62.6%	59.4%
					vi) G7			60.2%	58.9%
24					Fraction of UNDS entities that have high-level posts (D1 and above) filled by nationals of programme countries, disaggregated by gender: - <25% - Between 25% and 50% - >50%	HLCM		**F** / **M** 16/39 / 6/39 14/39 / 22/39 9/39 / 11/39	**F** / **M** 17/42 / 6/42 17/42 / 23/42 8/42 / 13/42

#	&	[71/243](#) OPs	[67/226](#) OPs	[72/279](#) OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

25					% of RCs that are:
					  i. Female
					  ii. Female and from programme countries
					  iii. Female and from non-programme counties
						DCO	(2016)
							43%
							19%
							24%
								
								45%
								19%
								26%
									
									50%
									19%
									31%

26	A				% of PCGs that 'agree' that UNDS uses national systems wherever possible
					  i. 'Agree'
					  ii. 'Strongly agree'
						PCG Survey[d]	(2015)[5]
							45%
							22%
								
								59%
								19%	

	B				% of RCs that 'agree' that the UNDS is using parallel implementation units as little as possible
					  i. 'Agree'
					  ii. 'Strongly agree'
						RC survey	(2015)
							37%
							25%
								
								52%
								18%	

27					% of PCGs that 'agree' that UN funds, programmes, and specialized agencies have been effective in developing national capacities
					  i. 'Agree'
					  ii. 'Strongly agree'
						PCG Survey[d]		
								71%
								18%	

28	A				% of programme countries that 'agree' that the UN funds, programmes, and specialized agencies have contributed to the strengthening of national capacities in
					  i. planning
					  ii. management
					  iii. evaluation
					  iv. statistics
								
								89%
								76%
								75%
								80%	

	B				% of RCs that 'agree' a that UN funds, programmes, and specialized agencies have contributed to the strengthening of national capacities in
					  i. planning
					  ii. management
					  iii. evaluation
					  iv. statistics
						RC survey		
								98%
								90%
								84%
								96%	

29	A				Fraction of UNCTs
					  i. that provide support to national statistical capacity
					  ii. that provide this support through an inter-agency effort
						DCO	(2015)
							125/131
							77/125
								
								128/130
								92/128
									
									128/130
									97/128

	B				Average number of participating entities in the inter-agency effort on national statistical capacity			6	6

30					% of UNCTs that state they have access to 'adequate' official government data on:
					  i. income level
					  ii. sex
					  iii. age
					  iv. disability
					  v. ethnicity
					  vi. religion
						RC survey		
								60%
								59%
								61%
								28%
								32%
								43%	

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[5]   The baseline shows the average response to the use of i. national procurement systems, ii. national financial systems, iii. national monitoring and reporting systems, and, iv. national statistical systems.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					vii. race				30%
31	A				% of PCGs that state that the UN works 'more closely' together to support capacity building on disaggregated data collection and analysis compared to four years earlier i. 'more closely' ii. 'much more closely'	PCG Survey[d]		48% 18%	
	B				% of RCs indicating that UNDS entities work 'more closely' together on support for capacity-building on disaggregated data collection and analysis compared to four years earlier i. 'more closely' ii. 'much more closely'	RC Survey		59% 19%	
32					Fraction of UNCTs that participate in the government's formal mechanisms to coordinate statistical development efforts with development partners (of those governments that have such mechanisms according to the UNCT)	DCO	69/79 (2015)	82/87	86/91

III.   Reinforcing a tailored response to different groups of countries

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
33	A				# of Member States providing at least i. 0.15% ii. 0.20% of GNI to ODA to LDCs	OECD Stat	(2014) 8 6	(2015) 7 5	(2016) 6 5
	B				% share of **total** country-level programme expenditures spent in[6] i. LDCs ii. LLDCs iii. MICs iv. SIDS v. Africa vi. Countries with a humanitarian response plan	DESA OCHA (part vi.)	(2015) 47.2% 24.2% 58.0% 2.7% 45.8% 48.3%	46.2% 24.3% 58.8% 2.6% 44.2% 50.7%	47.7% 27.2% 45.2% 2.6% 44.9% 48.3%
	C				% share of **core** country-level programme expenditures spent in[6] i. LDCs ii. LLDCs iii. MICs iv. SIDS v. Africa vi. Countries with a humanitarian response plan		(2015) 38.5% 20.3% 63.2% 3.8% 40.3% 40.4%	41.3% 21.8% 63.6% 3.7% 44.8% 42.8%	42.2% 23.5% 45.6% 4.0% 43.8% 42.0%
34	A				Technology bank for LDCs operationalized (yes/no)	Technology Bank for LDCs		Yes [22 Sep '17]	
	B				Annual contributions provided to Technology Bank			$2.45m	$3.22m

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6   Disaggregation lists overlapping groupings i.e. some countries will fall into two or more categories.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
35					Fraction of PCGs of recently graduated and scheduled to graduate from LDC status that 'agree' that the UNDS has provided effective support in the formulation of their national transition strategies i. 'Agree' ii. 'Strongly agree'	PCG Survey[d]		3/6 2/6	
36	A				Total # of: i. DSRSGs/RC/HC ii. RC/HC iii. RC iv. HCs	DCO (i-iii) OCHA (iv)			11[7] 14 97 1
	B				Fraction of PCGs that state there is 'close collaboration' among UNDS entities engaged across development, disaster risk reduction, humanitarian action and sustaining peace (as applicable [g]) i. 'Close collaboration' ii. 'Very close collaboration'	PCG Survey[d]		76/109 20/109	
	C				Fraction of RCs that state there is 'close collaboration' among UNDS entities engaged across development, disaster risk reduction, humanitarian action and sustaining peace (as applicable [g]) i. 'Close collaboration' ii. 'Very close collaboration'	RC survey		28/109 56/109	
	D				Fraction of UNDAFs that substantively address: i. disaster and climate risk reduction ii. the drivers of needs, risks and vulnerability iii. sustaining peace (as applicable g)			94/102 95/102 48/62	
37	A				Guidance to support implementation and operational coherence between the sustainable development and sustaining peace agendas developed that cover: i. Integrated multi-dimensional situations analysis and planning [Yes/No; Date]; ii. Sustaining peace, prevention, recovery, resilience and peacebuilding at country level [Yes/No; Date]	DCO			Yes (Dec 2018) No
	B				Number of new (since 1 Jan 2017) (i) Tools (ii) Guidelines (iii) Monitoring mechanisms issued to enhance coordination between development and peacebuilding efforts	DCO			14 6 2
	C				% of UNDAFs that explicitly incorporate elements relating to sustaining peace and peacebuilding	DCO		43%	48%
	D				Fraction of UNCTs that support national mechanisms to coordinate development and peacebuilding efforts (of those UNCTs in countries that had a humanitarian crisis within the last year)	DCO		28/51	32/54

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[7] One DSRSG does not serve as HC

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
	E				Fraction of UN missions that have: i. a multi-year joint (mission-country team) strategy that includes coordination and division of labour throughout the lifecycle of a mission, including initial planning and strategic assessments ii. a joint plan for draw down and/or withdrawal of the UN mission	RC survey		[N/A][8] 2/25	
	F				Fraction of UNCTs[b] (as applicable[g]) in countries in conflict or post-conflict situations with an institutionalized and country-led national mechanisms to coordinate development and peacebuilding efforts that are supported by the UNCT	RC survey[b]		46/53	
38	A				Number of new (since 1 Jan 2017) (i) Tools (ii) Guidelines (iii) Monitoring mechanisms issued to enhance coordination between development and humanitarian efforts	DCO/OCHA/ UNDP			TBC[9]
39					Fraction of UNCTs in countries that had a humanitarian crisis within the last year where UN development and humanitarian actors have worked together to undertake a: i. Joint conflict analysis ii. Joint planning for collective outcomes iii. Joint programming iv. Joint monitoring and evaluation v. Joint coordination mechanisms	DCO		28/51 40/51 34/51 34/51 40/51	36/54 45/54 43/54 38/54 49/54
40					Fraction of multi-year Humanitarian Response Plans that are harmonized with UNDAFs	RC survey			
41					Fraction of RCs in countries with humanitarian assistance needs that 'agree' that UNCT members regularly reports to the RC in relation to the UNDAF, in a way that ensures strong coherence of development and humanitarian activities i. 'Agree' ii. 'Strongly agree'	RC survey		67/109 22/109	
42					Fraction of PCGs (as applicable[g]) that state that the RC/HC has provided a joint (humanitarian and development) impartial, comprehensive assessment of needs	PGC Survey		45/85	
43	A				Fraction of UNCTs that provide i. support to national DRR efforts ii. DRR support in an inter-agency effort	DCO	116/131 94/116	117/130 100/117	120/130 103/120
	B				Fraction of UNCTs that participate in the government's formal mechanism that coordinates DRR efforts with development partners		87/92 (2016)	94/105	94/105
	C				% of PCGs that report annually on progress on disaster risk reduction.	UNISDR			40%

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8   Indicator that will be reported on from the results of the 2019 DESA surveys
9   DCO, OCHA and UNDP to define actions on development/humanitarian coordination so measurable indicator can be developed

B. FUNDING the UNDS

I. Quantity & Quality of Funding

#	&				Indicator / Draft indicator	Source/resp.	Baseline (year)	SGR 2018	SGR 2019

44	A				Funding for OAD:
					  i. Total
					  ii. Core
					  iii. % core share
						DESA	(2015)
							$28.0bn
							$6.6bn
							23.5%
								$29.9bn
								$6.7bn
								22.4%
									$33.6
									$6.9bn
									20.6%

	B				% share of OAD funding provided by:
					  i. The top 3 Member State
					  ii. The top 5 Member State
					  iii. The top 10 Member State contributors
							(2015)
							35%
							43%
							55%
								35%
								44%
								57%
									38%
									45%
									56%

	C				% share of core OAD funding provided by:
					  i. The top 3 Member State
					  ii. The top 5 Member State
					  iii. The top 10 Member State contributors
							(2015)
							25%
							37%
							55%
								24%
								39%
								57%
									30%
									40%
									57%

45					Core share of funding for development-related activities:
					  i. Voluntary funding only [Target(2023):30%]
					  ii. All funding, including assessed [Target(2023):30%]
					  iii. Member State contributions only (excluding local resources)
						DESA	(2015)
							21.1%
							29.3%
							42%
								21.4%
								28.3%
								43%
									19.4%
									27.0%
									39.7%

46	A				% of programme countries indicating that **core** funds are 'closely aligned' with the country's development needs and priorities
					  i. 'Closely aligned'
					  ii. 'Very closely aligned'
						PCG Survey[d]	(2015)
							55%
							15%
								56%
								10%	

	B				% of programme countries indicating that **non-core** funds are 'closely aligned' with the country's development needs and priorities
					  i. 'Closely aligned'
					  ii. 'Very closely aligned'
							(2015)
							45%
							8%
								50%
								7%	

47					% of UNDAFs aligned with the national planning and budgeting cycles of programme countries
					  i. Aligned (within one year)
					  ii. Planning to align in the next cycle
						RC Survey[b]	(2015)
							66%
							21%
								62%
								16%	

48	A				# of Member States providing at least 0.7% of GNI to ODA	OECD Stat	(2015) 6	8	9

	B				% share of funding for UN-OAD relative to:
					  i. Total ODA
					  ii. Total multilateral ODA
						DESA + OECD database	(2015)
							20.0%
							32.8%
								19.7%
								30.8%
									23.3%
									32.7%

49					Total contributions to inter-agency pooled funds i. Country pooled funds	MPTF Office	(2015) $924mn	$1,100mn	$1,239mn

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					ii. Global/regional pooled funds		$611mn	$589mn	$775mn
50					Annual contributions to the Peacebuilding Fund [Target(2020): $500 million]	MPTF Office	(2016) $58mn	$92mn	$129mn
51					Annual capitilization of the Joint Fund for Agenda 2030 [Target(2020): $290 million]	MPTF Office			$43mn

52	A				% of programme countries where
					    i. Over 10%
					    ii. Over 15%
					    iii. Over 20%
					of non-core resources are channelled through inter-agency pooled funds
						DESA + MPTF Office	(2015)
							24.8%
							14.8%
							10.7%
								29.8%
								20.5%
								12.6%
									15.2%
									9.9%
									6.6%

	B				# of Member States that contribute
					    i. Over 10%
					    ii. Over 15%
					    iii. Over 20%
					of their UN non-core contributions through UN inter-agency pooled funds
							(2015)
							15
							13
							10
								17
								14
								12
									26
									17
									12

	C				# of UNDS entities that receive
					    i. Over 10%
					    ii. Over 15%
					    iii. Over 20%
					of their non-core resources from inter-agency pooled funds
							(2015)
							5
							3
							2
								4
								2
								2
									3
									2
									1

53					% of non-core resources for:
					    i. Development-related activities [Target(2023): 10%]
					    ii. Humanitarian assistance-related activities
					channelled through inter-agency pooled funds
						DESA	(2015)
							6.4%
							10.1%
								4.5%
								10.3%
									4.8%
									10.3%

54					Funding channelled to entity-specific thematic funds
					    i. Total
					    ii. % of total non-core
						DESA	(2015)
							$529mn
							2.6%
								$447mn
								1.9%
									$558mn
									2.1%

55					Funding channelled to development-related entity-specific thematic funds
					    i. Total
					    ii. % of non-core funding to development activities [Target(2023): 6%]
						DESA	$326mn
							2.9%
								$302mn
								2.5%
									$393mn
									2.8%

56					Fraction of UNDS entities with at least 15% of non-core development-related expenditures constituting part of a joint activity [Target(2021): 75%]	HQ Survey			9/29 (31%)

57					Fraction of UNDS entities indicating that at least 50% of their contributions are part of multi-year commitments [Target(2023): 100%]
					    i. All entities
					    ii. Funds and Programmes
					    iii. Specialized agencies
					    iv. Other entities
						HQ survey		12/25[10]
								1/6
								5/8
								6/11
									12/25[11]
									1/6
									3/9
									8/10

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[10]   Excludes entities that are primarily humanitarian
[11]   Excludes entities that are primarily humanitarian

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

58					Funding from programme countries:
					  i. Core
					  ii. Non-core (excluding local resources)
					  iii. Local resources
						DESA	(2015)
							$467mn
							$1,081mn
							$1,406mn
								$494mn
								$888mn
								$1,920mn
									$607mn
									$940mn
									$1,807mn

59	A				Total funding received from non-State partners
					  i. Core
					  ii. Non-core
							(2015)
							$662mn
							$3,242mn
								$808mn
								$3,234mn
									$892mn
									$4,742mn

	B				% share of total funding coming from non-State partners		(2015) 14.6%	13.7%	16.8%
60	A				Fraction of UNDS entities reporting annually to their governing bodies on concrete measures to broaden the donor base	HQ Survey	(2015) 23/25	15/20	23/29
	B				Fraction of UNDS entities reporting an annual increase in the number of contributors of voluntary core resources [Target(2023):100%]	DESA			12/18[12]

	C				Number of Member State contributors to development-related:
					  i. inter-agency pooled funds [Target(2021): 100]
					  ii. entity-specific thematic funds [Target(2021): 50]
						MPTF Office/DCO			59
									27

61					Fraction of UNDS entities that in their respective governing bodies
					  i. held structured dialogues in the past year on how to finance the development results agreed in the new strategic planning cycle [Target(2021):100%]
					  ii. presented options for improving the functioning and effectiveness of the structured financing dialogues.
						HQ Survey	(2015)
							17/25
							
							N/A
								17/27
								
								9/27
									17/29
									
									11/29

62	A				Fraction of UNDS entities reporting resources generated from 'innovative funding modalities' as part of their regular financial reporting	HQ survey		13/27	8/29
	B				Fraction of UNDS entities that have included information about knowledge sharing and best practices on innovative funding as part of their regular financial reporting			10/27	7/29
63					% of UNCTs with a Joint Resource Mobilization strategy that is approved by the UNCT as well as monitored and reported in the UN Country Results Report [SOP Indicator Element 8]	DCO	13% (2015)	21%	19%
64					Median change (%) in country-level development-related expenditure after requesting DaO (based on average expenditure in 3 years before and after)[13]	DESA		19.6% increase	22.2% increase

65	A				Total funding (and %) raised by:
					  i. the coordination levy
					  ii. UNSDG cost-sharing
					  iii. direct voluntary contributions [Target(2019 onwards):$144mn[14]]
						DCO			$0[15]
									$29.3mn

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[12] Excludes entities that do not receive voluntary core and entities that only implement humanitarian activities.

[13] The intention of this indicator is not to prove a cause and effect. It only specifies whether or not there is an average change in financial flows to countries that have recently chosen the DaO approach.

[14] Estimated annual requirement after deducting estimated levy revenue ($60 million) and agency cost sharing ($77 million) from the RC system budget of $281 million.

[15] As of 6 March 2019.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					via the dedicated Trust Fund for the RC system				$46mn[16]

	B				# of Member State contributors to the RC system budget via
					  i. the coordination levy
					  ii. direct voluntary contributions
					  iii. overall [Target(2021):100][17]
						DCO			$0[15]
									28
									28[15]

66					Variance between amounts collected on the 1% coordination levy[18] and expected amounts[19] based on agreed guidelines [Target(2021):0%]	DCO			*
67	A				Total contributions paid to the UNSDG RC cost-sharing arrangement	DCO	$27.5mn (2016)	$29.4mn	$29.3mn
	B				Shortfall to the UNSDG RC cost-sharing arrangement	DCO	$8.4mn (2016)	$7.7mn	$7.8mn
	C				Fraction of UNSDG entities paying their full contribution of the UNSDG RC system cost-sharing arrangement	DCO	13/19	13/19	13/19
	D[20]				Fraction of UNSDG entities that report on use and contribution to the UNSDG RC cost-sharing mechanism to their respective governing body	HQ survey		14/29	

II. Transparency of financial flows

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

68	A	80		27	Fraction of UNDS entities individually submitting financial data to the CEB
					  i. Funds and Programmes
					  ii. Specialized Agences
					  iii. Other UNDS entities
					  iv. All [Target(2021):100%
						DESA	(2016)
							9/9
							13/13
							5/17
							27/39
								9/9
								13/13
								5/17
								27/39
									9/9
									13/13
									20/21
									42/43

	B				Fraction of UNDS entities with ongoing activities at country level that report expenditures disaggregated by country to the CEB [Target(2021):100%]
					  i. Funds and Programmes
					  ii. Specialized Agences
					  iii. Other UNDS entities
					  iv. All [Target(2021):100%]
							18/33(55%	18/33(55%)	8/9
									8/10
									7/14
									23/33 (70%)

	C				Fraction of UNDS entities that report on expenditures disaggregated by SDG [Target(2021):100%]	HQ survey		6/29 (20%)	..
69	A				Fraction of new UNDAFs designed each year that include funding frameworks disaggregated by funding type/source [Target(2020 onward):100%]	DCO			*

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[16]   As of 6 March 2019.
[17]   Paid-in contributors (i.e. the contribution has been transferred to the SPTF)
[18]   Per A/RES/72/279, the 1% coordination levy does not apply to local governments cost-sharing and cooperation among programme countries
[19]   Amounts calculated on basis of DESA+CEB reporting on tightly earmarked third-party contributions
[20]   Will be reported on from the 2020 HQ survey

#	&	[71/243](#) OPs	[67/226](#) OPs	[72/279](#) OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
	B				Funding gaps in UNDAF financing frameworks [Target(2021):tbd][21]	DCO			*

	C				% of UNCTs that have a fully operational Common Budgetary Framework (CBF) that is:
					    Medium-term and aligned to the UNDAF
					    Updated annually (i.e. annual CBF)
					[SOP Indicator Element 6 and 7]
						DCO	(2015)
							37%
							19%
								66%
								46%
									63%
									48%

	D				% of total country-level development-related expenditures delivered in countries with a:
					  i. Medium-term CBF
					  ii. Annual CBF
						DCO+DESA		62%
								42%	

	E				% of UNCTs[b] that have mapped overall financing flows of the country (i.e. public, private, domestic and international) as part of the support to national government in delivering the SDGs.	RC survey		10%	
70	A				Funding gaps in UNDS strategic plan financing frameworks [Target(2021):tbd][22]	DCO			*
	B				Fraction of UNDS entities consolidating all projected core and non-core resources within an integrated results and resources framework	HQ survey		27/29	26/28
	C				Average % fulfilment of integrated budgets of UNDS entities (actual versus indicative)			96%	77%

71	A				Fraction of UNDS entities publishing data as per the IATI data standard
					  i. All entities
					  ii. Funds and Programmes
					  iii. Specialized agencies
					  iv. Other entities
					Target(2021):100%
						IATI website	10/39
							5/9
							3/13
							2/17
								14/39
								6/9
								5/13
								3/17
									19/43
									8/9
									6/13
									5/21

	B				% share of total OAD expenditures published in the IATI data standard
					  i. All entities
					  ii. Funds and Programmes
					  iii. Specialized agencies
					  iv. Other entities
						IATI website & CEB			92%
									98%
									97%
									42%

72					Centralized, consolidated and user-friendly online platform in place with disaggregated data on funding flows at:
					  i. Entity-level (Y/N) [Target(2020): Yes]
					  ii. System-wide level (Y/N) [Target(2020): Yes]
						DCO/CEB			No
									No

73					Online platform providing real-time tracking of sources and uses of SPTF (Y/N) [Target(2021): Yes]	DCO			No

74	A				Fraction of UNDS entities that have defined common principles for the concept of 'critical mass' of core resources
					  i. All entities
					  ii. Funds and Programmes
						HQ Survey		12/29
								5/9
									14/27
									6/9

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[21] Methodology and data to be developed by DCO by September 2019
[22] Methodology and data to be developed by DCO by September 2019

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					iii. Specialized agencies iv. Other entities			2/8 5/12	4/8 4/10
	B				Fraction of UNDS entities that have determined and reported on their level of 'critical mass' of core funding: i. All entities ii. Funds and Programmes iii. Specialized agencies iv. Other entities			8/29 2/9 2/8 4/12	15/27 7/9 5/8 3/10
75	A				Fraction of UNDS entities that have adopted cost recovery frameworks i. All entities ii. Funds and Programmes iii. Specialized agencies iv. Other entities	HQ survey	(2015) 17/25	19/29	20/28 9/9 5/9 6/10
	B				Cost recovery support fee waivers granted: i. Average # per UNDS entity per year [Target(2019 onward):0] ii. % of total non-core resources affected	HQ survey			17 $1,262mn
	C				% of total expenditures directed to programme activities i. Core ii. Non-core		(2015) 68% 92%	65% 89%	61% 93%
	D				Fraction of UNDS entities that report annually on the implementation of their approved cost recovery policies and rates to their respective governing body [Target(2021):100%]		17/29[23] (2015)	15/29	17/28
	E				UNSDG development of a system-wide approach on cost definitions and classifications (Y/N) [Target(2021):Yes]				No
76					UNDAF fulfilment (i.e. projected versus actual development-related country-level expenditures)[24]	DCO + DESA		129%	104%
77	A				Specific mention of voluntary core fund contributors, pooled and thematic fund contributions, and programme country contirubions in UNCT annual results reporting and entity-specific country and global reporting (Y/N) [Target(2020): Yes]				No
	B				Specific mention of individual contributors in all results reporting by pooled fund and thematic fund administrators and UNSDG recipients (Y/N) [Target(2020): Yes]	MPTFO and fund administraors			No
78					Common management features across all inter-agency pooled funds[25] (Y/N) [Target(2021):Yes]	FMOG			No

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[23] Baseline reports "Fraction of UNDS entities that have adopted harmonized cost recovery framework"
[24] For countries whose UNDAF cycle just ended in the previous year
[25] Including: a well-articulated strategy, including innovation features where relevant, clear theories of change, solid RBM systems, well-functioning governance bodies supported by effective secretariats, quality assurance on issues of UN norms and values, risk management system and strategies, operational effectiveness/reporting/visibility/transparency standards; and planning and funding for joint and system-wide evaluations that meet UNEG norms and standards.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

C. STRATEGIC DIRECTION

I. Strengthening Collective Approaches

#	&	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

79		% of PCGs that consider UNDS activities closely aligned with national needs and priorities
		   i. 'Closely aligned'
		   ii. 'Very closely aligned'
			PCG Survey[d]	(2015)
				67%
				19%
					52%
					32%	

80		% of UNCTs with a: i. joint National/UN Steering Committee chaired by the Government ii. signed UNDAF at the outcome level with legal text as appropriate or equivalent [SOP Indicator Element 1]	DCO	(2015) 42% 38%	57% 76%	58% 75%

81	A	% of PCGs that agree that the UNDS is 'effective' or 'very effective' in facilitating in its UNDAF development, with the participation of
		   i. Parliamentarians
		   ii. Civil Society
		   iii. IFIs
		   iv. Bilateral & Multi-lateral actors
		   v. the Private sector
			PCG Survey[d]		55%
					85%
					57%
					77%
					52%	

	B	% of PCGs that 'agree'[a] that the UNCT engages as much as possible with
		   i. Parliamentarians
		   ii. Civil Society
		   iii. IFIsYes, a
		   iv. Bilateral & Multi-lateral actors
		   v. The Private Sector
					62%
					88%
					68%
					85%
					56%	

82		% of UNCTs with Result Groups (Chaired by Heads of Agencies) aligned with national coordination mechanisms [SOP Indicator for Element 5]	DCO	53% (2015)	80%	74%
83		% of UNCTs with Joint Workplans (of Results Groups) that are aligned with the UNDAF and signed by all involved entities [SOP Indicator Element 4]		24% (2015)	55%	57%

84	A	% of PCGs that 'agree' that there is an improved focus on common results among UNDS entities at the country level in the last year [Target(2021): 100%]
		   i. 'Agree'
		   ii. 'Strongly agree'
			PCG Survey[d]		69%
					16%	

	B	% of RCs that 'agree' that there is an improved focus on common results among UNDS entities at the country level in the last year
		   i. 'Agree'
		   ii. 'Strongly agree'
			RC survey		76%
					19%	

85		% of UNDAFs aligned with the national planning and budgeting cycles of programme countries i. Aligned (within one year)	RC Survey[b]	(2015) 66%	 62%	

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					ii.　　　Planning to align in the next cycle		21%	16%	
86	A				New UNDAF guidelines, in response to GA resolution 72/279, have been endorsed by UNSDG [Yes/No – date]	DCO			[No]
	B				% of RCs indicating that the Country Programme Documents are in alignment with the UNDAF: i)　　　To a great extent ii)　　　To a moderate extent	RC Survey			
	C				Fraction of RCs that state that at least 75% of Country Programme Documents are aligned to the UNDAF in their country i.　　　100% ii.　　　75-99% iii.　　　50-74% iv.　　　<50%				
	D				Fraction of RCs that state that at least 75% of Country Programme Documents are aligned to the UNDAF in their country [Target(2021):75%]	RC survey			
	E				Average number of months for the UNDAF preparation process (from the finalization of roadmap until date of signature by the government)	DCO	14 months	15 months	15 months
87					Number of programme countries with UN-INFO operational [Target(2021):100]	DCO			32
88	A				A system-wide outline of present functions and existing capacities of all UNDS entities carrying out operational activities for development with recommendations carried out by June 2017 [Y/N - date]	EOSG[c]		Yes (June 2017)	
	B				Options for aligning funding modalities with the functions of the UNDS developed by end 2017 and presented for consideration by the 2018 ECOSOC Operational Activities for Development Segment [Y/N - date]	EOSG		Yes (Dec2017 for OAS 2018)	
89	A				A system-wide strategic document translating recommendations of system-wide outline into actions developed by end 2017 and submitted for consideration by Member States at the 2018 ECOSOC Operational Activities for Development Segment [Y/N - date]	EOSG[c]		Yes (Dec2017 for OAS 2018)	
	B				Revised system-wide strategic document submitted to ECOSOC in 2019 for consideration by Member States (Yes/No - date)	Transition Team			Yes Date Tbd '19

D. IMPROVING UNDS FUNCTIONING TO MAXIMIZE IMPACT ON REALIZING THE SDGs

I.　　A reconfigured UN Development System

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
90					Implementation plan for the inception of the reinvigorated RC system presented to the GA [Yes/No; Date]	UNDS Transition Team			Yes (Sep '18)

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
91					Comprehensive proposal on further improvements to RC system presented to: i. ECOSOC for its recommendations by end 2017 (Y/N) ii. The General Assembly 72nd Session for further action (Y/N)	EOSG[c]		i. Yes (Dec 2017 for OAS 2018) ii. Yes (Dec 2017)	
92					Functions of RC and UNDP RR have been separated [Yes/No; Date]	EOSG			Yes (Dec '18)
93					UNSDG policy for a matrixed dual reporting model endorsed [Yes/No; Date][H]	DCO			No
94					New dispute resolution mechanism developed and implemented [Yes/no – Date][H]	DCO			No
95					Average # of RC office staff per country (not including the RC), by programme country expenditure[26] i. All ii. Large iii. Medium iv. Small	DCO	(2016) 1.6 2.0 1.7 1.4	1.2 1.6 1.3 0.9	(2018) 1.3 1.6 1.7 0.9
96	A				Fraction of UNDS entities recognizing reporting obligations to the RC on: i. planning ii. resource mobilization iii. programme implementation performance	HQ survey	N/A N/A 17/25 (2015)[27]	14/29 12/29 15/29	14/29 12/29 14/29
	B				% of RCs that 'agree' that they receive sufficiently regular and useful information from UNCT members to ensure effective communication with the Government regarding UNDS activities in the field i. 'Agree' ii. 'Strongly agree'	RC survey		51% 18%	
97	A				% of RCs that contribute to the performance assessment of: - < one third - between one and two thirds - > two thirds of resident UNCT Heads			65% 19% 16%	
	B				% of RCs that indicate that the UNCT heads inform the performance assessment of RCs.	RC Survey			
98					% of RCs that 'agree'[a] that they are fully empowered within the UNCT to i. make final decisions on the strategic objectives in the UNDAF ii. substantially increase common resource mobilization iii. distribute common resources iv. inform country-level presence & leadership profile of UNCT members	RC survey		86% 66% 61%	
99	A				% of RCs that 'agree' that they have the **prerogative** to avoid duplication of efforts				

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[26] Includes all staff contracts of all lengths. Excludes personnel with contract modalities of International Consultant, National Consultants, Service Contracts, International UNV, National UNV, JPO, and SARC. Includes global funding sources (UNDG Cost Sharing, Donor and UNDP)

[27] Fraction of entities recognizing reporting obligations to the RC on resource mobilization and programme implementation performance of any UNDAF/One Programme elements led by the agency

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					i. 'Agree' ii. 'Strongly agree'			33% 15%	
	B				% of RCs that 'agree' that they have the **capacity** to avoid duplication of efforts i. 'Agree' ii. 'Strongly agree'			37% 23%	
	C				% of RCs that 'agree' that the UNCT has reduced overlap and duplication of work in the last four years iii. 'Agree' iv. 'Strongly agree'			75% 9%	
	D				% of PCG that 'agree' that the RC has helped minimize duplication of efforts among the UNDS i. 'Agree' ii. 'Strongly agree'	PCG Survey[d]		61% 16%	
	E				% of RCs that 'agree' that the RC has sufficient access to the expertise available within the UNDS i. 'Agree' ii. 'Strongly agree'	RC survey		52% 21%	
100	A				% of PCGs that 'agree' that the RCs effectively and efficiently leads and coordinates the UNCT strategic support for national plans and priorities i. 'Agree' ii. 'Strongly agree'	PCG Survey[d]		62% 30%	
	B				% of PCGs that 'agree' that the RCs has sufficient **prerogative** to effectively fulfil her/his mandate [Target(2021):100%] i. 'Agree' ii. 'Strongly agree'			56% 27%	
	C				% of PCGs that state the RC has demonstrated **impartiality**: i. 'Effectively' ii. 'Very effectively'			54% 34%	
	D				% of PCGs that state the RC has demonstrated **management skills:** i. 'Effectively' ii. 'Very effectively'			53% 32%	
101	A				% of RCs that 'agree'[a] that all UNCT members report to the RC regularly on: i. resource mobilization ii. programme implementation performance of UNDAF elements led by the entity iii. on their individual activities iv. on collective results based on the UNDAF	RC Survey		29% 79%	
	B				% of RCs that 'agree' that UN system field representatives enjoy sufficient delegated authority to respond effectively and efficiently to national needs and priorities i. 'Agree' ii. 'Strongly agree'			71% 15%	

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

102	A				Fraction of RCs that indicate that
					   i. 100%
					   ii. 75-99%
					   iii. 50-74%
					   iv. <50%
					of UNCT members report to them **on their individual activities**[H]				

	B				Fraction of RCs that indicate that
					   i. 100%
					   ii. 75-99%
					   iii. 50-74%
					   iv. <50%
					of UNCT members report to them **on collective results based on UNDAF**[H]				

	C				% of RCs that 'agree' that the reporting they receive from UNCT heads is of:
					   i. a high quality
					   ii. sufficient scope and depth
					   iii. relevance
					   iv. sufficient frequency				

103					A review of Multi-country Offices carried out and presented to ECOSOC (Yes/No - date)	UNDS Transition Team			Yes (Date tbc '19)
104					Criteria endorsed by UNSDG in relation to a new generation of UNCTs [Yes/No - Date][H]	DCO			No
105	A				Fraction of RCs that indicate the UNCT has i. Not yet taken action to review ii. established a plan to reconfigure iii. reviewed and reconfigured its profile upon a needs-based tailored country presence dialogue held between Government and the UNDS, facilitated by the RC[H]	RC survey			
	B				% of RC that facilitated a dialogue on country presence between the Government and the UNDS[H]				
	C				% of PCGs that state a dialogue on country presence was held between Government and the UNDS, facilitated by the RC[H]	PCG Survey			
106	A				% of PCGs that 'agree'[a] that: i. UNDS presence is adequately tailored for meeting the specific challenges of the country ii. there is a clear division of labour among UNDS entities at the country level	PCG Survey[d]		86% 63%	
	B				% of RCs that 'agree'[a] that: i. UNDS presence is adequately tailored for meeting the specific challenges of the country ii. there is a clear division of labour among UNDS entities at the country level	RC survey		75% 63%	
	C				% of PCGs that 'agree'[a] that UNDS presence i. is flexible ii. is cost effective	PCG Survey[d]		86% 67%	

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					iii. operates collaboratively			86%	
	D				% of RCs that 'agree'[a] that UNDS presence i. is flexible ii. is cost effective iii. operates collaboratively	RC survey		70% 56% 89%	
	E				% of PCGs that find it 'easy' to access technical expertise from across the UN system i. 'easy' ii. 'very easy'	PCG Survey[d]		65% 5%	
107					Options, on a region by region basis, for longer-term reprofiling and restructuring of the regional assets of the UN presented to ECOSOC [Yes/No - date]	UNDS Transition Team			Yes (Date tbc '19)
108					% of RCs that report that UNDAF includes an integrated analysis of regional and transboundary issues	RC survey			
109					% of UNDAFs that identify Regional Commissions and whose contribution i. is included in the outcome ii. is reported upon in the results reporting to the country on UNDAF implementation supports transboundary and cross-border issues	RC survey			
110					Fraction of new RCs (in the previous year) that undertook familiarization visits to Regional Commission and key regional offices in first 6 months of appointment	RC Survey			
111	A				Fraction of regions where RCMs & R/UNDGs that held meetings jointly or back-to-back.	RCM-R-UNDG			4/5
	B				Is the Regional Commission a member of the Regional UNSDG Peer Support Group Mechanism for UNDAF development and review i. Asia/Pacific ii. Arab States iii. Europe & the CIS iv. Latin America & the Caribbean v. Africa	RCM-R-UNDG			Yes Yes Yes No Yes
	C				Number of UNSDG entities that participated in the most recent regional sustainable development forum i. Asia/Pacific ii. Arab States iii. Europe & the CIS iv. Latin America & the Caribbean v. Africa	R-UNSDG / RECs			22 N/A 15 N/A 11
112	A				Fraction of regions with a current publicly available repository of inter-agency knowledge products	RCM-R-UNDG			1/5
	B				Coordinated publications strategy established at the regional level to reduce duplication of knowledge products [Yes/No – date]	RCM-R-UNDG			No

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

113					# of joint RCM/R-UNDG regional common positions (papers) to advocate on key development issues
					  i. Total
					  ii. Arab States
					  iii. Europe and Central Asia
					  iv. Asia Pacific
					  v. Latin America and the Caribbean
					  vi. Africa
						RCMs R-UNDG	8 (2015)
							N/A
							N/A
							N/A
							N/A
							N/A
								4
								1
								3
								0
								0
								0
									7
									2
									2
									1
									0
									2

114	A				% RCs that stated the UNCTs benefitted 'to a great extent' from the Regional Commissions:
					  i. normative and policy support work
					  ii. technical expertise
					  iii. platforms for policy discussion and exchange of experience
						RC survey		26%
								31%
								31%	

	B				% RCs that stated the UNCTs benefitted 'to a great extent' from the Regional Offices of UNDS entities:
					  i. normative and policy support work
					  ii. technical expertise
					  iii. platforms for policy discussion and exchange of experience
						RC survey			

	C				% of UNCTs in which the relevant Regional Commission is a member	DCO	24% (2015)	25%	25%

115	A				% of RCs stating that regional UNSDG teams provide 'effective' support on regional or sub-regional issues of greatest relevance to the country
					  i. 'Effective'
					  ii. 'Very effective'
						RC survey		68%
								8%	

	B				% of PCGs stating that regional commissions provide 'effective' support on regional or sub-regional issues of greatest relevance to the country
					  i. 'Effective'
					  ii. 'Very effective'
						PCG Surveyd		50%
								7%	

II.   Common Business Services & Backoffice Functions

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
116					% of UNDS entities that report to their respective governing bodies on efficiency gains [Target(2021):100%	HQ survey			12/29

117					# of:
					  i. Total office premises
					  ii. Single entity office premises (%)
					  iii. Common office premises (%)
						DOCO			2286
									83%
									17%

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
118					Average number of resident UNCT members in countries disaggregated by programme country expenditure: 　i. All 　ii. Large 　iii. Medium 　iv. Small	DCO	 12.0 16.4 13.4 9.0	 12.8 17.5 14.4 9.6	 11.0 16.0 13.8 7.2
119	A				Fraction of UNCTs with a UN House[28], by programme country expenditure 　i. All 　ii. Large 　iii. Medium 　iv. Small	DCO		 62/131 6/27 11/45 40/59	 TBD[29]
	B				Average # of entities per UN house, by programme country expenditure 　i. All 　ii. Large 　iii. Medium 　iv. iSmall	DCO		N/A[30]	TBD[28]
120	A				Strategy to increase percentage of common premises from 16% to 50% by 2021 endorsed by UNSDG [Yes / No – date];	DCO			No
	B				# (and % share) of common premises [Target(2021): 1,000 (or 50% of all premises)]	DCO			430 (17%)
	C				Average # of common premises[31] per country, by programme country expenditure 　i. All 　ii. Large 　iii. Medium 　iv. Small	DCO		 3.0 7.2 4.0 1.0	 2.8 6.1 3.1 1.1
	D				Average # of UNDS entities in each common premise, by programme country expenditure 　i. All 　ii. Large 　iii. Medium 　iv. Small	DCO		 4.1 3.7 3.9 5.9	 3.6 3.3 3.7 4.0
	E				Average # of single-entity office premises per country, by programme country expenditure[32]	DCO			

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[28] A UN House entails the co-location of **two or more** resident United Nations entities present in a country as well as the office of the Resident Coordinator. The United Nations House is not necessarily a standalone building, and it may have satellite premises and may be referred to as United Nations House Annex. There can only be one UN House in any given country. The name UN House is conferred upon recommendation of the UNSDG.

[29] To be determined following data collection from the UN Business Innovation Group in 2019.

[30] Indicator will be reported on from 2019

[31] A common premise entails the co-location of two or more resident United Nations entities present in a country. A Common Premises can be established at national and sub-national level, as per the UNDG business operations working group for Common Premises definition, adopted February 2017

[32] A UN agency can be in a common premise, and still have single-entity offices in other locations within the same country. A combination of fewer single entity office premises, an increase in the number of common premises, and an increase in the average # of entities within each common premise, would together

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

					i. All
					   ii. Large
					  iii. Medium
					  iv. iv. Small
								16.1
								34.3
								22.3
								5.7
									13.0
									30.8
									15.2
									4.4

	F				% of common premises covered by additional financial and/or in-kind contributions [Target(2020 onwards):100%)	DCO			tbd[33]

121					Fraction of UN Information Centres
					   i. co-located with RCOs
					   ii. integrated with RCOs
						DGC			37/50
									44/50

122					Strategy (including governance, costing and structural proposals) developed to implement Common Back Offices for all UNCTs by 2022 endorsed [Yes / No – date];	DCO			No
123					Fraction of UN entities that have conducted a high-level review of the business operations services they could offer to - or purchase from - other entities in the system	HQ survey			No

124					Fraction of UNDS entities that submitted to its governing body a plan for consolidated common support services at country level, including in the areas of financial management, human resources, procurement, ICT and other services
					   i. All entities
					   ii. Funds and Programmes
					  iii. Specialized Agencies
					  iv. Other entities
						HQ survey		5/29
								3/9
								0/8
								2/12
									3/29
									1/9
									0/9
									2/11

125					Fraction of UNDS entities that presented plans to their governing bodies for intra agency rationalization of business operations	HQ survey	10/25 (2015)	7/29	
126	**A**				Framework of mutual recognition policies endorsed [Yes/No – date and by who]	DCO and HLCM			Yes (Jan 2019)[34]
	B				% of UNDS entities that have signed the high-level framework on mutual recognition [Target(2021):100%]				11/39 (28%)
127					Number of agency-specific vertical centers expanded into Inter-Agency Joint Service Centers	HLCM		N/A	N/A[35]
128					Service Provider models and standardized Key Performance Indicators [KPIs] on customer service, pricing, and delivery developed and adopted	DCO		No	No
129					*[Placeholder for measurable indicator(s) to be developed based on workplans of SRG 3 on Business Innovations and HLCM]*	DCO / HLCM			
130					Fraction of UNDS entities with a documented risk assessment policy that includes; i. security risks	HQ survey		24/29	21/22

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indicate consolidation of office presence.

[33]   To be determined following data collection from the UN Business Innovation Group in 2019 and in consultation with Member States

[34]   UN SG, UNHCR, WFP, UNICEF, UNDP, UNOPS, UNFPA, ILO, WHO, ITO, IOM

[35]   HLCM has taken a decision to review Global Service Centers (CEB/2017/3)

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					ii. medical risks			20/29	13/20
					iii. IT disaster recovery risks			23/29	20/22
					iv. business continuity risks			23/29	22/22
131					Median % policy compliance rate of risk assessment policy for UNDS entities (once policy developed)			N/A	N/A
132					% of UNCTs that have i. a Country Communications Group (chaired by a Head of Agency) ii. a joint communication strategy approved by the UNCT and monitored and reported against in the UN Country Results Report iii. operations costs and budgets integrated in the overall medium-term CBF [SOP Indicator Element 14, 15 13]	DCO	(2015) 59% 44% 11%	73% 58% 18%	81% 68% 24%
133					% of UNCTs that have an integrated service centre	DCO			7%
134					Fraction of UNDS entities that report to their respective governing bodies on efficiency savings through collaborative procurement [Target(2021):100%]	HQ survey		12/29	12/29
135					% of countries with 25 or more per cent of the annual UN financed procurement volume done by the government	OMT Survey		23%	
136	A				% of countries implementing five or more common services, based on the implementation of inter-agency agreements and common long-term agreements	OMT Survey	5% (2016)	4%	
	B				% of countries implementing a minimum of five common Long-term Agreements		33% (2016)	58%	
137					% of RCs who state that they have received 'adequate' information and support from headquarters in regard to the implementation of the SOPs i. 'Adequate' ii. 'Very adequate'	RC survey		58% 32%	
138					Fraction of PCGs that considered adopting DaO that were 'satisfied' with information provided to them by the RC/UNCT to enable them to take an informed decision on DaO i. 'Satisfied' ii. 'Very satisfied'	PCG Survey[d]	42/88 22/88	16/53 2/53	
139					Fraction of actions undertaken in the updated UNDG HQ Plan of Action to address challenges and bottlenecks in relation to the roll-out of the SOPs, that are i. fully implemented ii. partially implemented iii. not yet implemented	DCO[36]	(2016)[37] 11% 70% 19%		
140					New BOS guidelines have been developed in response to GA resolution 72/279 [Yes/No – date]	DCO			No

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[36] Superseded and no longer monitored

[37] These figures reflect the implementation status of the HQ Plan of Action 2.0, endorsed by the UNDG in June 2016. The updated HQ Plan of Action 3.0, consisting of rolled-over actions and reflecting the relevant 2016 QCPR mandates, is expected to be endorsed by the UNDG in Q4 2017.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

141					% of RCs who state that they have received 'adequate' information and support from headquarters in regard to the implementation of the BOS
					   i. 'Adequate'
					   ii. 'Very adequate joint initiative on data and statistics
						RC survey		61%
								26%	

142	A				% of UNCTs that:
					   i. have an approved Business Operations Strategy (BOS)
					   ii. have not developed an approved BOS
					[SOP Indicator Element 11]
						DCO	(2015)
							12%
							88%
								20%
								80%
									48%
									52%

	B				UNCTs with an approved BOS, disaggregated by programme expenditure
					   i. All
					   ii. High
					  iii. Medium
					  iv. Low
							(2016)
							17/131
							7/34
							4/39
							6/58
								26/131
								6/27
								11/45
								9/59
									63/132
									16/29
									23/45
									24/58

	C				Average % of UNCT members included in each approved BOS	DESA Desk review[38]		95%	
	D				% of total country-level OAD expenditures delivered in countries with a BOS	DESA & DCO	20% (2016)	19%	54%

	E				Fraction of common service lines adopted within each implemented BOS
					   i. Common procurement services
					   ii. Common finance services
					  iii. Common IT services
					  iv. Common logistics services
					   v. Common human resources services
					  vi. Common facility services, including Common Premises
						DCO	(2016)
							15/17
							9/17
							16/17
							10/17
							14/17
							13/17
								24/26
								18/26
								25/26
								19/26
								18/26
								14/26
									60/63
									58/63
									53/63
									59/63
									52/63
									58/63

143					% of Operations Management Team chaired by
					   i. A Head of Agency
					   ii. the RC
					[SOP Indicator Element 12]
						OMT Survey		31%
								1%	

III.   Partnerships to accelerate progress

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

144	A				UNSDG has endorsed a
					   i. system-wide approach to partnerships
					   ii. common approach to due diligence for private sector partnerships
						DCO			No
									[No]

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[38] Based on the 13 of the 26 BOS that were provided by DCO that included a signature page

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					iii.　minimum standards for multi-stakeholder partnerships within the UNDAF				[No]
	B				% of RCs that state there is a UNCT common approach on partnerships	RC survey			
145	A				% of PCGs that 'agree' that the UNDS plays a catalytic role in facilitating partnerships 　i.　'Agree' 　ii.　'Strongly agree'	PCG Survey[d]		69% 18%	
	B				% of PCGs that stated that the UNDS has contributed to building the capacity of the country to engage in partnerships 　i.　'to a moderate extent' 　ii.　'to a large extent'			53% 26%	
	C				Fraction of UNDS entities that have in place a functioning monitoring and reporting partnership platform or mechanisms that tracks annually the status and results of each partnership	HQ Survey		21/29	23/29
146					Fraction of UNCTs which have: 　i.　joint assessments 　ii.　agreements 　iii.　joint strategic frameworks 　iv.　joint funding mechanisms with Bretton Woods institutions	RC survey		54% 27% 24% 11%	
147	A				Fraction of UNDS entities that: 　i.　integrate SSC into their strategic plan 　ii.　Actively report on SSC in their annual reports;	HQ Survey		25/29 21/29	23/29 20/29
	B				% of UNDAFs that substantively addresses south-south and triangular cooperation	RC survey	43% (2016)	56%	
	C				% of PCGs indicating that the UN has undertaken activities in that country to support South-South or triangular cooperation	PCG Survey[d]		67/119	
	D				% of PCGs indicating the UNDS is a preferred partner for supporting South-South cooperation and triangular cooperation			55%	

E. ACCOUNTABILITY & OVERSIGHT FOR SYSTEM-WIDE RESULTS

I.　Managing for results

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
148	A				Full implementation of the following elements of the Management and Accountability system[39]: Fraction of UNDS entities that have 　i.　updated the Job description of their country representative to recognize her/his role vis-a-vis the RC;	HQ survey		17/29 6/27	13/29 7/21

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[39]　New Management and Accountability Framework under development [indicator to be updated]

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					ii. included RC's inputs in UNCT Head of Agency performance appraisal system in all programme countries; iii. included UNCT results in entity representatives' performance appraisal system [SOP Indicator Element 8]			14/27	..
	B				Protocol for country engagement by Secretariat entities and non-resident agencies endorsed by UNSDG [Yes/No; Date]	DCO			No
	C				% of RCs that agree they are informed of all in-country activities of non-resident entities i. 'Agree' ii. 'Strongly agree'	RC survey			
149					Fraction of programme countries that had a departing RC in the past two years and were duly informed of when the tenure of the out-going RC was coming to an end	PCG Survey[d]		54/57	
150	A				Geographic diversity of RCs from programme countries i. Asia/Pacific ii. Arab States iii. Europe & the CIS iv. Latin America & the Caribbean v. Africa	DCO	(2016) 6% 4% 1% 10% 18%	7% 4% 1% 9% 18%	7% 6% 1% 9% 18%
	B				% of RCs from non-programme countries	DCO	61% (2016)	61%	59%
151	A				Fraction of PCGs that confirmed receipt of a report on the results achieved by the UNCT as a whole in the last annual cycle [Target(2021):90%]	PCG Survey[d]	61/128 (2015)	66/110	
	B				% of PCGs, in respect of annual reports provided to them, that 'agree'[a] that: i. they receive reports regularly enough to meet their needs [Target(2021):90%] ii. the information is up-to-date [Target(2021):90%] iii. the results of the whole UN system are included [Target(2021):90%] iv. sufficient financial data is included [Target(2021):90%] v. reporting is structured around UNDAF outcomes [Target(2021):90%] vi. reporting is linked to national development results [Target(2021):90%]			63% 68% 58% 45% 74% 63%	
152					% of RCs that report an increase in Member State harmonization of reporting and visibility requirements on development-related contributions at the country level [Target(2020 onwards)]:50%	DCO			*
153					Fraction of UNCTs that have: i. Prepared a joint UN Country Results report ii. Submitted a joint UN Country Results Report to Government in the last year iii. made this report publicly available	DCO	31/131	75/131 56/75 48/75	73/130 57/73 44/73
154					Aggregated information on system-wide support to the SDGs and system-wide results presented to ECOSOC [Target(2021)]:Yes	DESA			No
155	A				Independent review of ISWE considered by ECOSOC (Y/N)	DESA[c]		No	No
156					Fraction of UNDS entities that i. meet UNEG standards for independence ii. have an evaluation tracking system that includes the status of evaluations	HQ survey		25/29 27/29	21/29 23/29

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					and management responses?				

157	A				Number of independent system-wide evaluations of results achieved undertaken in the past 12 months at:
					  i. global level
					  ii. regional level
					  iii. country level
						UNEG
						UNEG
						RC Survey
									1
									0
									N/A

	B				% of UNSDG Evaluation Offices (EO) engaging in:
					  i. joint evaluations [Target(2021):75%]
					  ii. ISWEs [Target(2021):50%]
						UNEG			10/35(29%)
									7/35 (20%)

158	A	61			Fraction of UNCTs that have conducted an annual UNDAF review in the last 12 months[40]	DCO	45% (2016)	44%	42%

	B				Fraction of most recently completed UNDAFs for which[41]
					  i. an evaluation was conducted
					  ii. a Management Response was prepared
							(2016)
							62/131
							23/62
								91/130
								31/91
									94/130
									34/94

	C				% of UNDAF evaluation that contain all of the following: actionable recommendations, with a clear target audience and timeframe for implementation, and a management response [Target(2021):100%]	DCO	(2016) 10/36 (28%)		*
	D				% of UNDAF evaluations with good or excellent rating on methodology used [Target(2021):75%]	DCO	(2016) 10/36		*

	E				Average quality criteria score for new UNDAFs:
					  i. Relevance and strategic focus
					  ii. Principled
					  iii. Effectiveness
					  iv. Efficiency
					  v. Sustainability
						DOCO desk review (2017)		3.0/5.0
								3.3/5.0
								2.6/5.0
								2.6/5.0
								2.8/5.0	

159					RBM and system-wide results reporting across UNDS reviewed	JIU[c]	In progress (2015)	[Yes (Dec) 2017]	
160	A				UNDG RBM Handbook revised reflecting common methodologies for: - results-based planning [Y/N] - results-based reporting [Y/N] - integrated results and resources frameworks [Y/N]	DCO[c]		No No No	No No No
	B				Fraction of UNDS entities using the revised RBM Handbook's common methodologies[42] for each of: - results-based planning - results-based reporting	HQ survey		N/A N/A N/A	N/A N/A N/A

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[40] As per the 2017 UNDAF guidance, the UNDAF should be regularly monitored against the programming principles and approaches in each stage of the programming cycle.

[41] As per the 2017 UNDAF guidance, an UNDAF evaluation happens once during the life cycle of the UNDAF

[42]   Not applicable in the absence of a common methodology

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
					- integrated results and resources frameworks				
	C				Fraction of entities that have included provisions for knowledge management strategies in their strategic plans[a]	HQ Survey		22/29	20/29
161	A				Fraction of UNDS entities using CEB's Data Management Platform for the system-wide data gathering and reporting needs of the CEB Secretariat (once launched)	CEB		Not yet developed	Not yet developed
	B				Fraction of UNDS entities implementing common standards for machine readability of data (once developed)	DCO		Not yet developed	Not yet developed
	C				Fraction of UNDS entities implementing a common open data policy, which includes a set of minimum standards (once developed)			Not yet developed	Not yet developed
	D				Fraction of UNDS entities using a common authentication system	HQ survey		15/29	16/29
	E				Fraction of UNDS entities implementing the UNDG policy on a system-wide open data approach for a common knowledge base (once developed)	DCO		Not yet developed	Not yet developed
162					Number (percentage) of United Nations development system inter-agency staff transfers[43]	HLCM		641 (0.88%)	537 (0.72%)
163					% of PCGs that 'agree'[a] that i. the staff in the UNCT has the right mix of capacities and skills to support their country's development ii. the UNCT heads of agencies have the highest standards of leadership skills	PCG survey		76% 85%	

II.   Effective Governance & Oversight

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019
164	A				% of UNDS entities authorized within disclosure provisions and policies who have made their corporate evaluations available on the UNEG website [Target(2019):100%]	DCO			10/48 (21%)
	B				% of internal audit reports issued in line with the disclosure provisions and policies set by the relevant governing bodies, which are available on a dedicated searchable UN-RIAS platform/website, pending availability of resources [Target(2021):100%]				0%
	C				% of inter-agency pooled funds posting evaluations reports on the UNEG website [Target(2021):100%]				0%
165					Report of Secretary-General on improving the accountability and overall coordination of the entities of the UNDS and their oversight by Member States, i. presented to ECOSOC for its consideration by end June 2017 (Y/N - date) ii. presented to General Assembly's 72nd Session for review and further action [Y/N – date]	EOSG		Y - Jun 2017 Y - Oct 2017	

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[43]   Inter-agency moves are based on a comparison of data of one year with data of the previous year, comprising all movement of staff across organizations (moves across Departments of the UN Secretariat are not counted as inter-agency moves). SGR 2018 value was revised after further validation of the data.

#	&	71/243 OPs	67/226 OPs	72/279 OPs	Indicator / Draft indicator	Source/responsibility	Baseline (year)	SGR 2018	SGR 2019

End Notes

The framework may be adjusted for technical considerations, to align with new guidance of the Secretary-General and UNSDG, and/or to track progress of new mandates of the General Assembly or ECOSOC

*   Funding Compact indicator with no current baseline value. DCO will collect required info and value will be included in the 2020 version of this report.

a   Sum of 'strongly agree' and 'agree'

b   The RC survey requires respondents to answer only for their country of location. Therefore, "Fraction of UNCTs" is a subset of the total number of countries.

c   The frequency of reporting is one-time or annually until completed

d   The frequency of reporting is biennial

f   For analytical purposes, some indicators are disaggregated by country-level UN-OAD programme expenditures in 2017. There are 29 programme countries with high expenditures (>$200mn); 45 programmes with medium expenditures (>$50mn & <200mn) and 77 programmes with low expenditures (<$50mn). For full breakdown of country-level expenditures, see the statistical annex, tab [B-3] <https://www.un.org/ecosoc/en/node/1158673>

g   Self-assessed by the respondent of the survey

h   Draft indicator developed on the basis on legislative mandates of the General Assembly. Indicator may be updated as related UNDS mechanisms are established and implemented.

I   Previously provided figure has been revised