

## ADDENDUM: FUNDING COMPACT INDICATOR FRAMEWORK

### UNSDG Commitments

<i>Indicator</i>	<i>Source</i>	<i>Baseline</i>	<i>Target</i>	<i>Latest value</i>
<b>UNSDG commitment #1</b>				
<b>To enhance cooperation for results at the country level</b>				
UN 1.1 Percentage of Programme Country Governments that 'agree' that there is an improved focus on common results amongst UNSDG entities at the country level	DESA survey	85% (2017)	100% (2021)	74% (2019)
UN 1.2 Fraction of programme country Governments that "agree" that the United Nations development system provides integrated (where appropriate) policy advice tailored to national needs and priorities	DESA survey	79% (2017)	100% (2021)	77% (2019)
UN 1.3. Fraction of UNSDG entities reporting at least 15% of development related expenditures on joint activities	DESA survey	9/29 or 31% (2017)	75% (2021)	13/29 or 45% (2019)
UN 1.4. Percentage of Resident Coordinators that state that at least 75% of country programme documents are aligned to the UNDAF/CF in their country	DESA survey	..	100% (2021)	79%
<b>UNSDG commitment #2</b>				
<b>To increase collaboration on joint and independent system-wide evaluation (ISWE) products to improve UN support on the ground</b>				
UN 2.1 Percentage of UNSDG evaluation offices engaging in joint evaluation	DCO/ UNEG	10/35 or 29% (2018)	75% (2021)	17/35 49% (2020)
UN 2.2 Percentage of UNSDG evaluation offices engaging in independent system-wide evaluation	DCO/ UNEG	7/35 or 20% (2018)	50% (2021)	11/35 31% (2020)
<b>UNSDG commitment #3</b>				
<b>To fully implement and support the functioning of the new Resident Coordinator system</b>				
UN 3.1. Percentage of programme country Governments that 'agree' that the resident coordinator has sufficient prerogative to effectively fulfill her/his mandate;	DESA survey	83% (2017)	100% (2022)	71% (2019)
UN 3.2. Variance between amounts collected on the 1% coordination levy (see footnote 15) and expected amounts (see footnote 16) based on agreed guidelines	DCO	n/a (2018)	0% (2021)	21.4% (2019)

<i>Indicator</i>	<i>Source</i>	<i>Baseline</i>	<i>Target</i>	<i>Latest value</i>
<b>UNSDG commitment #4: To improve reporting on results to host governments</b>				
UN 4.1. Fraction of programme country Governments that confirmed receipt of a report on the results achieved by the United Nations country team as a whole in the most recent annual cycle	DESA survey	60% (2017)	90% (2021)	50% (2019)
UN 4.2 Percentage of programme country Governments, with regard to annual reports provided to them, that agree that: i. They receive reports regularly enough to meet their needs ii. The information is up to date iii. The results of the whole United Nations system are included iv. Sufficient financial data are included v. Reporting is structured around United Nations Development Assistance Framework outcomes vi. Reporting is linked to national development results	DESA survey	(all 2017)  63% 68% 58% 45% 74%  63%	(2021)  90% 90% 90% 90% 90%  90%	  51% 62% 51% 55% 61%  56%
UN 4.3 Percentage of United Nations country teams that have a fully operational common budgetary framework that is updated annually	DESA survey	28% (2017)	90% (2021)	43% (2019)
UN 4.4 Percentage of United Nations country teams that have made their annual results report publicly available	DCO	64% (48/75)	90% (2021)	54% (45/83) (2019)
UN 4.5 Number of programme countries with UN INFO operational	DCO	32 (2018)	100 (2021)	69 (2019)
<b>UNSDG commitment #5 To present clear funding frameworks for each UNDAF, with levels and types of funding required</b>				
UN 5.1 Fraction of new UNDAFs designed each year that include funding frameworks disaggregated by funding type/source	DCO	N/A (2018)	100% (2020)	Methodology roll-out in 2020 <sup>1</sup>
<b>UNSDG commitment #6 To improve the clarity of entity-specific strategic plans and integrated results and resource frameworks and their annual reporting on results against expenditures</b>				
UN 6.1 Fraction of UNSDG entities that in their respective governing bodies held structured dialogues in the past year on how to finance the development results agreed in the new strategic planning cycle	DESA	17/27 62% (2018)	100% (2021)	16/28 57% (2019)
UN 6.2. Centralized, consolidated and user-friendly online platform with disaggregated data on funding flows in place at the entity level and system-wide	DCO	No (2018)	Yes (2020)	In progress <sup>2</sup>
<b>UNSDG commitment #7 To strengthen entity and system-wide transparency and reporting, linking resources to SDG results</b>				
UN 7.1. Fraction of UNDS entities individually submitting financial data to CEB	DESA	69% (2017)	100% (2021)	100% (2019)
UN 7.2. Fraction of United Nations development system entities publishing data in accordance with the highest international transparency standards.	DESA	36% (2017)	100% (2021)	44% (2019)
UN 7.3. Fraction of United Nations development system entities with ongoing activities at the country level that report expenditures disaggregated by country to the CEB	DESA	46% (2018)	100% (2021)	73% (2019)
UN 7.4. Fraction of UNDS entities that report on expenditures disaggregated by SDG	DESA	20% (2017)	100% (100%)	33% (2019)

<sup>1</sup> DCO to begin reporting on this in 2021, following its roll out of the Cooperation Framework funding strategy methodology in 2020.

<sup>2</sup> Under development led by the EOSG through the roll out of the recently developed Secretary-General's Strategy on Data

UN 7.5. Aggregated information on system-wide support to the SDGs and system-wide results presented to ECOSOC by 2021	DCO	No (2018)	Yes (2021)	Due in 2021
UN 7.6. Fraction of United Nations country teams that have conducted a gender scorecard exercise in the past four years, and met or exceeded requirements in at least half of the performance indicators	UNW	27% (2017)	75% (2020)	37% (2019)
UN 7.7. Online platform providing real-time tracking of sources and special purpose trust fund	DCO	No (2018)	Yes (2019)	Yes (2020)
<b>UNSDG commitment #8: To improve the quality and utility of UNDAF evaluations</b>				
UN 8.1 Percentage of UNDAF evaluation reports with good or excellent rating on methodology used	DCO/ UNEG	10/36 or 28% (2016)	75% (2021)	tbd <sup>3</sup>
UN 8.2 Percentage of UNDAFs that contain all of the following: actionable recommendations, with a clear target audience and time frame for implementation, and a management response	DCO/ UNEG	10/36 or 28% (23/62 for management response) (2016)	100% (2021)	34%
<b>UNSDG commitment #9: To increase accessibility of corporate evaluations and of internal audit reports, within the disclosure provisions and policies set by governing bodies at the time of report issuance</b>				
UN 9.1 % of UNDS entities authorized within disclosure provisions and policies who have made their corporate evaluations available on the UNEG website	DCO	10/48, or 21% (2018)	100% (2019)	12/17 or 71% (2019)
UN 9.2 % of internal audit reports issued in line with the disclosure provisions and policies set by the relevant governing bodies, which are available on a dedicated searchable UN-RIAS platform/ website, pending availability of resources	DCO	0% (2018)	100% (2021)	0% <sup>4</sup>
UN 9.3 % of inter-agency pooled funds posting evaluation reports on the UNEG website	DCO	0% (2018)	100% (2021)	..
<b>UNSDG commitment #10: To increase the visibility of results from voluntary core contributions, pooled and thematic funds and programme country contributions</b>				
UN 10.1. Specific mention of voluntary core fund contributors, pooled and thematic fund contributors, and programme country contributions in United Nations country team annual results reporting and entity -specific country and global reporting	DCO	No (2018)	Yes (2020)	Yes (2019)
UN 10.2. Specific mention of individual contributors in all results reporting by pooled funds and thematic fund administrators and United Nations Sustainable Development Group recipients	MPTFO	No (2018)	Yes (2020)	Yes (2019)
<b>UNSDG commitment #11: To implement the Secretary-General's goals on operational consolidation for efficiency gains</b>				
UN 11.1 Consolidation of common premises; Baseline (2017): 430 common premises (or 17% of all premises); Target (2021): 1,000 common premises (or 50% or all premises)	DCO	430 common premises (17% of all premises) (2017)	1000 common premises (or 50% of all premises) (2021)	tbd <sup>5</sup>

<sup>3</sup> The Cooperation Framework Companion Package and Guidance on Evaluation of Cooperation Frameworks are finalized in 2020, so data for this indicator will be reported on in 2021.

<sup>4</sup> No such website or platform exists yet.

<sup>5</sup> Data will be available later in 2020.

UN 11.2. Percentage of UN Country Teams that have an approved business operations strategy in place, to enable common back offices where appropriate	DCO	20% (2017)	100% (2021)	55% (2020)
UN 11.3. % of UNSDG entities that report to their respective governing bodies on efficiency gains	DESA survey	12/29 or 41% (2018)	100% (2021)	36% (2019)
UN 11.4. % of UNSDG entities that have signed the High-Level Framework on Mutual Recognition	DCO/ HLCM	11/39 or 28% (2017)	100% (2021)	44% (2019)
<b>UNSDG commitment #12: To fully implement and report on approved cost-recovery policies and rates</b>				
UN 12.1 Fraction of UNDS entities that report annually on the implementation of their approved cost recovery policies and rates to their respective governing body	DESA survey	15/29 or 51% (2017)	100% (2021)	92% (2019)
<b>UNSDG commitment #13: In consultation with respective governing bodies as appropriate, to improve comparability of cost classifications and definitions and enable greater transparency across time and between UNSDG entities</b>				
UN 13.1 UNSDG development of a system-wide approach on cost definitions and classifications	DCO	n/a (2018)	Yes (2021)	Underway (2020)
<b>UNSDG commitment #14: To increase efficiency and effectiveness of development-related inter-agency pooled funds</b>				
UN 14.1 Common management features across all inter-agency pooled funds	FMOG	No (2018)	Yes (2021)	In progress (2020)

## Member State Commitments

<i>Commitment &amp; Indicators</i>	<i>Source</i>	<i>Baseline</i>	<i>Target</i>	<i>Latest value</i>
<b>Member States commitment #1 To increase core resources for the UN development system</b>				
MS 1.1. Core share of voluntary funding for development-related activities	DESA	19.4% (2017)	30% (2023)	20.8% (2018)
MS 1.2. Core share of funding for development-related activities (including assessed contributions)	DESA	27.0% (2017)	30% (2023)	28.3% (2018)
<b>Member States commitment #2 To double the share of non-core contributions that are provided through development-related pooled funds and single-agency thematic funds</b>				
MS 2.1. Percentage of non-core resources for development related activities channelled through inter-agency pooled funds	DESA/ MPTFO	5.0% (2017)	10.0% (2023)	7.1% (2018)
MS 2.2. Percentage of non-core resources for development-related activities channelled through single-agency thematic funds	DESA	2.6% (2017)	6.0% (2023)	3.4% (2018)
MS 2.3. Annual capitalization of the Joint Fund for the 2030 Agenda	MPTFO	\$43 million (2018)	\$290 million (2020)	\$55 million (2019)
MS 2.4. Annual contributions to the Peacebuilding Fund	MPTFO	\$129 million (2018)	\$500 million (2020)	\$135 million (2019)
<b>Member States commitment #3 To broaden the sources of funding support to the United Nations development system</b>				
MS 3.1. Percentage of UNSDG entities reporting an annual increase in the number of contributors of voluntary core resources	DESA	67% (2017)	100% (2023)	69% (2018)

<b>Commitment &amp; Indicators</b>	<b>Source</b>	<b>Baseline</b>	<b>Target</b>	<b>Latest value</b>
MS 3.2. Number of Member State contributors to development-related inter-agency pooled funds and to single-agency thematic funds	DCO/ MPTFO	59 & 27 (2017)	100 & 50 (2021)	46 & tbd (2018)
MS 3.3. Number of Member State contributors to the resident coordinator system budget	DCO	28 (2019)	100 (2021)	36 (2019)
<b>Member States commitment #4</b>				
<b>To provide predictable funding to the specific requirements of UNSDG entities, as articulated in their strategic plans, and the UNDAF funding needs at country level</b>				
MS 4.1. Funding gaps in UNSDG strategic plan financing frameworks <sup>6</sup>	DCO	..	tbd	n/a <sup>7</sup>
MS 4.2. Funding gaps in CF/UNDAF financing frameworks <sup>8</sup>	DCO	..	n/a <sup>9</sup>	DCO Methodology roll out in 2020 <sup>10</sup>
MS 4.3. Fraction of UNDS entities indicating at least 50% of their contributions are part of multi-year commitments	DESA survey	48% (2017)	100% (2023)	57% (2019)
<b>Member States commitment #5</b>				
<b>To provide adequate, predictable and sustainable funding to the RC system budget</b>				
MS 5.1. Variance between amounts collected on the 1% coordination levy (see footnote 15) and expected amounts (see footnote 16) based on agreed guidelines	DCO	n/a (2018)	0% (2021)	21.4%
MS 5.2. Voluntary contributions to the SPTF	DCO	\$46 million (2018)	\$144 million (2019 onward)	\$119 million (2019)
<b>Member States commitment #6</b>				
<b>To facilitate and support the implementation of efficiency measures where relevant and possible</b>				
MS. 6.1. % of cost of common premises covered by additional financial and/or in-kind contributions);	DCO	tbc (2018)	100% (2020 onwards)	tbd (2019)
<b>Member States commitment #7</b>				
<b>To fully comply with cost-recovery rates as approved by respective governing bodies</b>				
MS 7.1 Average number of cost-recovery support fee waivers granted per UNDS entity	DESA survey	17 (2017)	0 (2019)	14 (2019)
<b>UNSDG commitment #8</b>				
<b>To harmonize reporting and visibility requirements for earmarked contributions at the country level, in line with the principles of national ownership and leadership</b>				
MS 8.1. Percentage of RC/UNCT that report an increase in Member State harmonization of reporting and visibility requirements on development related contributions at the country level	DCO	n/a	50% (2020 onwards)	n/a

<sup>6</sup> Methodology to be developed by DCO in Sep 2019

<sup>7</sup> Not yet possible to aggregate at the system-wide level as entities currently don't capture these funding gaps using the same methodology.

<sup>8</sup> Ibid.

<sup>9</sup> Not yet possible to aggregate at the system-wide level as entities currently do not capture these funding gaps using the same methodology.

<sup>10</sup> To be reported on in 2021 following roll-out of funding strategy methodology by DCO in 2020